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U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE
Patent Control Number

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/930,960
Filing Date	August 17, 2001
First Named Inventor	Vishnu K. Agarwal
Group Art Unit	2814
Examiner Name	Theresa T. Doan
Attorney Docket Number	M4065.0151/P151-A

JAN -7 2003

TECHNOLOGY CENTER 2800

Total Number of Pages in This Submission

7

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☒ Amendment/Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below)

1) Amendment Transmittal
2) Amendment and Response to non-final Office Action

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP Thomas J. D'Amico, #28,371
Signature	
Date	January 6, 2003



AMENDMENT TRANSMITTAL LETTER

Application No.
09/930,960

Filing Date
August 17, 2001

Docket No.
M4065.0151P151-A
Examiner
Theresa T. Doan
Art Unit
2814
JAN - 2814
2003
TECHNOLOGY CENTER 2800

Applicant(s): Vishnu K. Agarwal, et al.

Invention: MULTILAYER ELECTRODE FOR A FERROELECTRIC CAPACITOR

TO THE COMMISSIONER FOR PATENTS

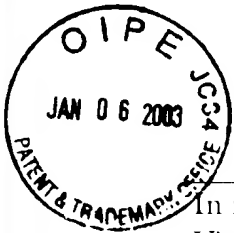
Transmitted herewith is an amendment in the above-identified application.
The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	51	- 54 =		x	0.00
Independent Claims	3	- 3 =		x	0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify):					
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:					0.00

- ☒ Large Entity ☐ Small Entity
- ☒ No additional fee is required for this amendment.
- ☐ Please charge Deposit Account No. _____ in the amount of \$ _____
A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$ _____ to cover the filing fee is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1073
as described below. A duplicate copy of this sheet is enclosed.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.

Thomas J. D'Amico
Attorney Reg. No.: 28,371
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Dated: January 6, 2003



#5 / Amatt B
1-13-03
Docket No.: M4065.015
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Vishnu K. Agarwal, et al.

Application No.: 09/930,960

Group Art Unit: 2814

Filed: August 17, 2001

Examiner: Theresa T. Doan

For: MULTILAYER ELECTRODE FOR A
FERROELECTRIC CAPACITOR

AMENDMENT

BOX: NON-FEE AMENDMENT

Commissioner for Patents
Washington, DC 20231

Dear Sir:

Responsive to the Office Action dated October 4, 2002, please amend the
above-captioned application as follows:

IN THE CLAIMS:

Please cancel claims 2, 3, and 16.

Please rewrite claims 1, 14, and 38 as follows:

1. (amended) A capacitor, comprising:

an electrode having a layer comprising platinum-rhodium material and a layer
comprising platinum material on top of the platinum-rhodium layer, wherein the layer
comprising platinum-rhodium comprises approximately 3 to approximately 40 percent
rhodium and approximately 60 to approximately 97 percent platinum.